

## Acknowledgement of Federal Firearms Regulations

Page references are in ATF P 5300.4 (Sept. 2005)

Licensee Name:	UI Number:
Martin, Brian & Frazier, David	768075-2009-0216

Topic	27 CFR	Page Number
1. DEFINITIONS		
<input checked="" type="checkbox"/> Ammunition	478.11	36
<input checked="" type="checkbox"/> Antique Firearms	478.11	36
<input checked="" type="checkbox"/> Curios and Relics	478.11	36
<input checked="" type="checkbox"/> Engaged in the Business	478.11	37
<input checked="" type="checkbox"/> Firearm Frame or Receiver	478.11	37
<input checked="" type="checkbox"/> Identification Document	478.11	38
<input checked="" type="checkbox"/> Short Barreled Rifles & Shotguns	478.11	40
2. MISCELLANEOUS PROVISIONS		
<input checked="" type="checkbox"/> Right of Entry and Examination	478.23	41
<input checked="" type="checkbox"/> "Straw" Purchase	478.128 & General Inter. # 15	69 & 166
<input checked="" type="checkbox"/> Tracing Request from ATF	478.25a	42
<input checked="" type="checkbox"/> Compliance with State Law Publication ATF P 5300.5	478.24	41
<input checked="" type="checkbox"/> Firearm Transportation	478.38	45
<input checked="" type="checkbox"/> Reporting Thefts or Losses ATF F 3310.11 **	478.39a	45
3. LICENSES		
<input checked="" type="checkbox"/> Correction of Error	478.48	49
<input checked="" type="checkbox"/> Renewal Duration	478.45 & 478.49	48 & 49
<input checked="" type="checkbox"/> Premises Covered	478.50	49
<input checked="" type="checkbox"/> Reporting Changes of Address ATF F 5300.33	478.52	49
<input checked="" type="checkbox"/> Reporting Changes in Trade Name	478.53	49
<input checked="" type="checkbox"/> Reporting Changes of Control	478.54	49
<input checked="" type="checkbox"/> Discontinuance of Business	478.57 & 478.127	50 & 69
4. CONDUCT OF BUSINESS		
<input checked="" type="checkbox"/> Posting of License	478.91	51
<input checked="" type="checkbox"/> Sales or Deliveries Between Licensees	478.94 & 478.95	53
<input checked="" type="checkbox"/> Gun Show Guidelines **	478.100	55
<input checked="" type="checkbox"/> Out of State and Mail Order Sales	478.96	53
<input checked="" type="checkbox"/> Prohibited Sales and Deliveries	478.99	54-55
<input checked="" type="checkbox"/> NICS Requirements	478.102 & 478.131	55-56 & 70
<input checked="" type="checkbox"/> Obliterated Serial Number	478.34	44
<input checked="" type="checkbox"/> Youth Handgun Safety Act (YHSA)-Sales of Handguns	18 U.S.C. 922(x)	15-16
<input checked="" type="checkbox"/> Youth Handgun Safety Act Poster and Notices	18 U.S.C. 922(x) & 478.103	15-16 & 56-57
<input checked="" type="checkbox"/> Secure Gun Storage or Safety Device	921(a)(34), 922(z) & 923(d)(1)(G)	8 & 16-18
<input checked="" type="checkbox"/> Non-resident Aliens	478.29a & ATF Rul. 2004-1	43 & 144-145
<input checked="" type="checkbox"/> Sales to Law Enforcement Officers	478.134	70-71
5. REQUIRED RECORDS		
<input checked="" type="checkbox"/> Retention of Records	478.129	69-70
<input checked="" type="checkbox"/> Transaction Record ATF F 4473 **	478.124	64-66
<input checked="" type="checkbox"/> Acquisition and Disposition Record	478.125(e)	67
<input checked="" type="checkbox"/> Report of Multiple Handgun Sales ATF F 3310.4 **	478.126a	69
<input checked="" type="checkbox"/> Personal Firearms	478.125a	68
<input checked="" type="checkbox"/> Consignment of Firearms (Also, see Q&A section F15)	478.124(a)	64 & 183
6. STATE LAWS AND LOCAL ORDINANCES		
<input checked="" type="checkbox"/> Review of basic concepts including licenses, waiting periods, concealed carry permits		

\*\* Provide copy of form and explain requirements to complete.

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Topic		22 CFR	Page Number
7 GUNSMITH ACTIVITIES	N/A <input checked="" type="checkbox"/>		
<input type="checkbox"/> Gunsmithing (Also, see Q&A section II)		478 124(a)	64 & 185
<input type="checkbox"/> Gunsmith Recordkeeping		478 124(a) & 125(e), ATF Rul 77-1	64, 67 & 126
<input type="checkbox"/> Firearms & Ammunition Excise Tax - Contact TTB		Part 53	1-877-882-3277
8 NFA DEALER	N/A <input checked="" type="checkbox"/>		
<input type="checkbox"/> Authorized Operations		ATF Ruling 76-22	126
<input type="checkbox"/> General Information		Q&A -- M11 & M12	188
<input type="checkbox"/> Special Occupational Tax **		479 32 - 479 37	85-87
<input type="checkbox"/> NFA Firearms		Part 479	80-98
9 IMPORTER	N/A <input checked="" type="checkbox"/>		
<input type="checkbox"/> Importer Definition		478 11	38
<input type="checkbox"/> ATF Forms 6 and 6A **		478 112	58-59
<input type="checkbox"/> Importation Acquisition & Disposition Record		478 122 & 478 125(n)	63-64 & 68
<input type="checkbox"/> Markings		TD ATF-461, 478 92 & 479 102	51-52 & 92-93
<input type="checkbox"/> NFA Firearms		479 111 - 479 113	94-95
<input type="checkbox"/> Firearm & Ammunition Excise Tax - Contact TTB		Part 53	1-877-882-3277
10 EXPORTATION			
<input type="checkbox"/> Arms Export Control Act of 1976	N/A <input checked="" type="checkbox"/>	Part 447	99-101
11 FIREARMS & AMMUNITION MANUFACTURERS	N/A <input checked="" type="checkbox"/>		
<input type="checkbox"/> Manufacturer Definition		478 11 and 479 11	38 & 83
<input type="checkbox"/> Markings		TD ATF-461 & 478 92	51-52
<input type="checkbox"/> Records		478 123 & 478 125(n)	64 & 68
<input type="checkbox"/> Firearms & Ammunition Excise Tax - Contact TTB		Part 53	1-877-882-3277
<input type="checkbox"/> NFA Firearms		479 103	93
<input type="checkbox"/> Special Occupational Tax **		479 32 - 479 37	85-87
<input type="checkbox"/> Annual Firearms Manufacturing & Exportation Report		Part 53	ATF F 5300 11
12 SCHOOL ZONE	N/A <input checked="" type="checkbox"/>		
<input type="checkbox"/> If the FFL's premises fall within 1000 feet of a school zone, advise the FFL that firearms in possession of FFL's customers must be unloaded and placed in a locked container, or a locked firearms rack that is on a motor vehicle. Also, advise of the specific means the FFL can ensure that their customers are not in violation of 18 U.S.C. 922(q) (e.g. advise customer of State license permit options, the use of lockable containers, etc.)			

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The investigator explained the above information to me on 6.30.09 and answered my questions regarding this information. I have received a copy of this for my records as a reference. I understand that this is only a general overview of the regulations and that I will be responsible for familiarizing myself with all of the laws and regulations governing my licensed firearms business.

  
Applicant's Licensee's Signature and Date

  
ATF Investigator Signature and Date

ATF Website: [www.atf.gov](http://www.atf.gov)  
Area Office Phone: \_\_\_\_\_

Tracing Center      Reporting Thefts  
1-800-788-7133      1-888-930-9275  
1-800-830-3855

Revised 11/05

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